

**Bc/FMO-605**

**2015**  
( 6th Semester )

**COMMERCE**  
( Honours )

Paper No. : BC-605

**( Financial Market Operations )**

*Full Marks : 70*

*Pass Marks : 45%*

*Time : 3 hours*

*The figures in the margin indicate full marks  
for the questions*

Answer **all** questions

1. (a) Explain the structure of Indian money market. 14

Or

- (b) Give a recent trend of Indian money market.

2. (a) Explain the role and function of National Stock Exchange. 14

L15-700/668

( Turn Over )

( 2 )

Or

(b) What do you mean by listing of securities? Highlight the consequences of non-listing of securities.

3. (a) Discuss the main provisions of the Securities Contract and Regulation Act. 14

Or

(b) Explain the role of Company Law Board as a grievance cell in stock exchanges.

4. (a) Explain the role of brokers and sub-brokers in capital market. 14

Or

(b) State the qualifications required for the registration as a sub-broker and stock-broker.

5. (a) Discuss the obligations and responsibilities of a Merchant Banker as an intermediary in the primary market. 14

Or

(b) Explain the concept of credit rating and state different types of credit rating.

4+10=14

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